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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/717,407

11/18/2003

Vander R. Alves

SVL920030047US1

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7590

08/23/2006

KONRAD RAYNES & VICTOR, LLP

ATTN: IBM54

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BEVERLY HILLS, CA 90212

EXAMINER

MORRISON, JAY A

ART UNIT

PAPER NUMBER

2168

DATE MAILED: 08/23/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

|                          |                        |                     |  |
|--------------------------|------------------------|---------------------|--|
| <b>Interview Summary</b> | <b>Application No.</b> | <b>Applicant(s)</b> |  |
|                          | 10/717,407             | ALVES ET AL.        |  |
|                          | <b>Examiner</b>        | <b>Art Unit</b>     |  |
|                          | Jay A. Morrison        | 2168                |  |

All participants (applicant, applicant's representative, PTO personnel):

(1) Jay A. Morrison, Examiner of Record. (3)\_\_\_\_\_.

(2) Janaki K. Davda (Reg Num: 40,684). (4)\_\_\_\_\_.

Date of Interview: \_\_\_\_\_.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1 and 19.

Identification of prior art discussed: Tandon (Patent Number 6,233,587).

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See attached sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.



Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

Discussed 112(2), 101, and 102 rejections in regards to claims 1 and 19. Examiner Morrison noted that amendments to solve the 112(2) issues seemed to be sufficient to overcome those rejections, gave suggestions on how to overcome 101 issues, and stated that the amendments to claims 1 and 19 would have to be considered further and examiner will review the art of record once more and then update the search before any decision is made.

# KONRAD RAYNES & VICTOR, LLP

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## FAX COVER SHEET

### PLEASE DELIVER THIS FACSIMILE TO EXAMINER JAY A. MORRISON

TO: Commissioner for Patents  
Attn: Examiner Jay A. Morrison  
Group Art Unit 2168  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313

FROM: Janaki K. Davda

OUR REF: 0057.0084  
TELEPHONE: 310-556-7983

Total pages, including cover letter: 5

PTO FAX NUMBER 1-571-273-7112

If you do NOT receive all of the pages, please telephone us at 310/556-7983, or fax us at 310/556-7984.

Description of Documents Transmitted: INFORMAL/DRAFT COMMUNICATION- DO NOT ENTER INTO PROSECUTION RECORD: PROPOSED AGENDA FOR INTERVIEW

Applicant: V.R. ALVES et al.  
Serial No.: 10/717,407  
Filed: November 18, 2003  
Group Art Unit: 2168  
Docket No.: SVL920030047US1

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on August 14, 2006

By:   
Name: Janaki K. Davda

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|               |  |                |                 |
|---------------|--|----------------|-----------------|
| Applicant(s): | V.R. ALVES et al.  | Examiner       | Jay A. Morrison |
| Serial No.    | 10/717,407   | Group Art Unit | 2168            |
| Filed         | November 18, 2003  | Docket No.     | SVL920030047US1 |
| TITLE         | SYSTEMS, METHODS, AND COMPUTER PROGRAM PRODUCTS TO INTEGRATE USER-DEFINED OPERATIONS INTO A DATABASE |                |                 |

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being transmitted by facsimile to Jay A. Morrison of the U.S. Patent and Trademark Office at 1-571-273-7112 on August 14, 2006.

  
Janaki K. Davda

**INFORMAL/DRAFT COMMUNICATION -**  
**DO NOT ENTER INTO PROSECUTION RECORD:**  
**PROPOSED AGENDA FOR INTERVIEW**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This agenda is being submitted prior to a telephone interview.

The tentative participants are Examiner Morrison and Janaki K. Davda.

The proposed date of Interview is Tuesday, August 15, 2006. The proposed time is 2:00 p.m. (EST).

A telephone interview is requested.

No exhibit will be shown.

Serial No. 10/717,407  
Docket No. SVL920030047US1  
Firm No. 0057.0084

Applicants would like to discuss proposed claim amendments to claims 1 and 19 and the 35 U.S.C. 101 and 35 U.S.C. 112 rejections. Applicants would also like to discuss the 35 U.S.C. 102(b) rejection of claim 1 and the cited Tandon patent.

Dated: August 14, 2006

By: 

Janaki K. Davda  
Registration No. 40,684

Please direct all correspondences to:

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Serial No. 10/717,407  
Docket No. SVL920030047US1  
Firm No. 0057.0084

### Proposed Claim Amendments

1. (Currently Amended) A computer-implemented method for extending a database transaction to include at least one user-defined operation that accesses a computer resource by means of ~~an XA~~ a Transaction Protocol describing a two-phase commit application programming interface (API) that operates between a transaction manager and a resource manager for transaction processing distributed over computer systems, said method comprising:
  - registering said user-defined operation with said database, wherein the user-defined operation enables a database operation to be extended with user-customizable features;
  - executing said database transaction;
  - enabling said database to operate as ~~an XA~~ said Transaction Manager by means of said ~~XA Transaction Protocol~~, wherein said Transaction Manager manages distributed transactions by coordinating decisions about commit or rollback of pending transactions and coordinating failure recovery;
  - accessing ~~[[a]]~~ said resource manager by said database operating as said ~~XA~~ Transaction Manager;
  - invoking said user-defined operation as part of said database transaction;
  - recording with said database that said user-defined operation has been invoked;
  - executing said invoked and recorded user-defined operation while executing said database transaction; and
  - accessing said computer resource by said resource manager, thereby extending said database transaction.

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Firm No. 0057.0084

19. (Currently Amended) An article of manufacture comprising a computer program usable medium embodying for storing one or more instructions executable by said computer for extending a database transaction to include at least one user-defined operation that accesses a computer resource by means of ~~an XA~~ a Transaction Protocol describing a two-phase commit application programming interface (API) that operates between a transaction manager and a resource manager for transaction processing distributed over computer systems, wherein:  
said computer usable instructions register said user-defined operation with said database;  
said computer usable instructions execute said database transaction, wherein the user-defined operation enables a database operation to be extended with user-customizable features;  
said computer usable instructions enable said database to operate as ~~an XA~~ said Transaction Manager by means of said XA Transaction Protocol, wherein said Transaction Manager manages distributed transactions by coordinating decisions about commit or rollback of pending transactions and coordinating failure recovery;  
said computer usable instructions access ~~[[a]]~~ said resource manager by said database;  
said computer usable instructions operate as said XA Transaction Manager;  
said computer usable instructions invoke said user-defined operation as part of said database transaction;  
said computer usable instructions record with said database that said user-defined operation has been invoked;  
said computer usable instructions execute said invoked and recorded user-defined operation while executing said database transaction; and  
said computer usable instructions access said computer resource by said resource manager, thereby extending said database transaction.